DSP BLACKROCK
MUTUAL FUND

APPLICATION FORM

Please read Product labeling details available on cover page and instructions before filling this Form

Application No.:

Distributor ARN and Name	Sub Broker Name & ARN	Branch/RM Internal Code	EUIN (Refer note below)	For Office use only
ARN-6136			E033574	

I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

☐ I am a First Time Investor in Mutual Fund Industry. ☐ I am an Existing Investor in Mutual Fund Industry.

Sole / First Applicant's Signature Mandatory

1. FIRST APPLICANT'S DETAILS

Name of First Applicant (Should match with PAN Card) **PAN** (1st Applicant / Guardian) ☐ KYC

Existing Folio Number **Name of Guardian** if minor **PoA PAN** ☐ KYC

On behalf of Minor (* Attach Mandatory Documents as per instructions). Date of Birth Minor's D D / M M / Y Y Y Y

Date of Birth Proof attached * ☐

Guardian named is : ☐ Father ☐ Mother ☐ Court Appointed

2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS (As per KYC records)

Email ID (in capital)

Mobile +91 **Tel** (STD Code)

Address

Landmark

City **Pin Code** (Mandatory) **State**

Address Type (Mandatory)
☐ a. Residential & Business
☐ b. Residential
☐ c. Business
☐ d. Registered Office

3. KYC DETAILS (Mandatory)

3a. Status of Sole/1st Applicant (Please tick ✓) ○ Indian Resident Individual ○ Minor (Resident) ○ Minor NRI ○ NRI (Repatriable) ○ NRI (Non-Repatriable) ○ PIO
○ Sole Proprietorship ○ HUF - Indian ○ HUF - NRI ○ Partnership Firm ○ Limited Partnership (LLP) ○ Public Ltd. Co. ○ Private Ltd. Co. ○ Body Corporate ○ Bank
○ FIs ○ Insurance Companies ○ Government Body ○ AOP/BOI ○ Trust ○ Society ○ Provident Fund ○ Superannuation / Pension Fund ○ Gratuity Fund ○ Mutual Fund
○ FII ○ FPI-Category I/II/III ○ FCRA ○ GDN ○ Defence Establishment ○ NPS Trust ○ Others _____ (Please specify)

☞ Are you a Non-Profit Organization [NPO] or Company u/s 25 (Companies Act 1956) or u/s 8 of Companies, Act, 2013: □ Yes □ No

3b. Occupation Details (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business
○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others..... (Please specify)

3c. Gross Annual Income (Please tick ✓) ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore

Net-worth in (Mandatory for Non-Individuals) ₹ ..... as on D D / M M / Y Y Y Y (Not older than 1 year)

3d. For Individuals / HUFs
○ I am Politically Exposed Person
○ I am Related to Politically Exposed Person
○ Not Applicable

For Non-Individual Investors (Companies, Trust, Partnership etc)
I. Foreign Exchange / Money Changer Services ○ YES ○ NO
II. Gaming / Gambling / Lottery/Casino Services ○ YES ○ NO
III. Money Lending / Pawning ○ YES ○ NO

4. JOINT APPLICANTS (IF ANY) DETAILS

☞ **Mode of Holding** (Please tick ✓) ☐ Joint (Default) ☐ Anyone or Survivor

2nd Applicant Name (Should match with PAN Card) **PAN** (2nd Applicant) ☐ KYC

a. **Occupation Details** (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business
○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others..... (Please specify)
b. **Gross Annual Income** ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore **OR** Net worth ₹.....
c. **Others** (Please tick ✓) ○ Politically Exposed Person (PEP) ○ Related to a Politically Exposed Person (PEP) ○ Not Applicable

3rd Applicant Name (Should match with PAN Card) **PAN** (3rd Applicant) ☐ KYC

a. **Occupation Details** (Please tick ✓) ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business
○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others..... (Please specify)
b. **Gross Annual Income** ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore **OR** Net worth ₹.....
c. **Others** (Please tick ✓) ○ Politically Exposed Person (PEP) ○ Related to a Politically Exposed Person (PEP) ○ Not Applicable

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ACKNOWLEDGEMENT SLIP (To be filled in by the investor) — DSP BLACKROCK MUTUAL FUND

Received, subject to realisation and verification an application for purchase of Units as mentionedin the application form.
From _____

Scheme	Cheque no.	Amount
DSPBR		

Application No.

5. FATCA and CRS DETAILS For Individuals/HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA/CRS details form

Sole/First Applicant/Guardian			2nd Applicant			☐ 3rd Applicant ☐ POA		
Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY	Place & Country of Birth	PLACE	COUNTRY

Please indicate all Countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number and it's Identification type eg. TIN etc.

Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type	Country #	Tax Identification Number	Identification Type
1			1			1		
2			2			2		
3			3			3		

6. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)

Bank Name

Bank A/C No. **A/C Type** ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

Branch Address

City Pin

IFSC code: (11 digit) **MICR code** (9 digit) (This is a 9 digit number next to your cheque number)

7. INVESTMENT AND PAYMENT DETAILS (Cheque/DD should be in favour of "Scheme Name")

Scheme/Plan /Option/Sub Option DSP BlackRock - Scheme Plan Option/Sub Option

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

☐ **One time Lumpsum Investment** ☐ **SIP: Systematic Investment Plan.** ☞ **Attach OTM form, if not already registered. Mention First SIP Cheque Details below**

Payment Mode: ☐ Cheque ☐ DD ☐ RTGS ☐ NEFT ☐ Funds transfer

Cheque/DD/RTGS/NEFT No.

Amount (Rs.) (i)

DD charges, (Rs.)(ii)

Total Amount (Rs.) (i) + (ii) In figures

In Words

Cheque/RTGS/ NEFT/DD Date D D / M M / Y Y Y Y

Payment from Bank A/c No. Pay In A/c No.

Bank Name

Branch

Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable: ☐ Bank Certificate, for DD ☐ Third Party Declarations

8. NOMINATION DETAILS Individuals (single or joint applicants) are advised to avail Nomination facility.

☞ ☐ I/We wish to nominate. ☐ I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			Total = 100%	

9. UNIT HOLDING OPTION:

☐ In **Account Statement Mode** (default):

☐ In **Demat mode:** NSDL: I N **Depository Participant** (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

CDSL:

Enclose for demat option:
- ☐ Client Master List
- ☐ Transaction/Holding Statement
- ☐ DIS Copy

10. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund form time to time, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme/Plan/Option and agree to abide by the terms and conditions, rules and regulations. I / We have understood the information requirements of the application form, including FATCA and CRS requirements, terms and conditions (read along with instructions and scheme related documents) and hereby accept the same and further confirm that the information provided by me/us on this form is true, correct, and complete. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

Sole / First Applicant / Guardian	Second Applicant	Third Applicant	POA holder, if any

Quick Checklist ☞

- ☐ Name, Address are correctly mentioned
- ☐ Email ID / Mobile number are mentioned
- ☐ KYC information provided for each applicant
- ☐ FATCA/CRS details provided for each applicant
- ☐ Full scheme name, plan, option is mentioned
- ☐ Pay-In bank details and supportings are attached
- ☐ Nomination facility opted
- ☐ Form is signed by all applicants
- ☐ Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used.
- ☐ Non Individual investors should attach
  - ☐ FATCA Details and Declaration Form
  - ☐ UBO Declaration Form